

The Role of **Universities** in **Innovation** and Regional Development

RUNIN Project Main Findings on Universities, Innovation and Regional Development

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Agenda

- 1. RUNIN Work Packages and Initial Goals
- 2. WP 3: People and Networks
- 3. WP 4: Policies and Interventions
- 4. WP 5: Places and Territories
- 5. WP 6: Practices and Governance
- 6. Final Insights



1. RUNIN Work Packages and Initial Goals

Research Theme	Main Linkages Explored	Main Approaches	Main Methods
(3) People and Networks	Networks of researchers with firm employees Student mobility to firms	Network analysis Analysis of learning and education	In-depth interviews Large-scale surveys Register data Case studies
(4) Policies and Intervention	Networks of universities with policy- makers	Policy analysis Higher education management Network analysis	Comparative analysis Case studies Large-scale surveys
(5) Places and Territories	Territorial context of university-firm networks Extra-regional linkages	Network analysis Regional systems analysis	In-depth interviews Large-scale surveys Register data Case studies
(6) Practices and Governance	Practices of interaction Governance of universities and networks	Financial performance analysis Strategy analysis Discourse analysis	Register data Comparative analysis Case studies Large-scale surveys



2. WP # 3 – People and Networks

UNIVERSITY-INDUSTRY NETWORKS



ESR 2: Rhoda Ahoba-Sam (UL)

"Networks of Individuals in University-Industry relationships"

Supervised by: David Charles (NU). **Co-supervised by:** Dzamila Bienkowska (LiU).

DOCTORAL EDUCATION

ESR 1: Eloïse Germain-Alamartine (LiU)

"Doctoral education in the entrepreneurial university: enhanced employability?"

Supervised by: Magnus Klofsten (LiU). **Co-supervised by:** Pere Ortin (UAB).



ESR 3: Gerwin Evers (AAU)

"The role of university-industry interaction for regional industrial development"

Supervised by: Christian Østergaard (AAU). **Co-supervised by:** Rebecca Herron (UL).



ESR 4: Saeed Moghadam-Saman (UiS)

"Intersectoral collaborations of doctoral researchers and generic skills acquisition – A critical realist inquiry"

Supervised by: Bjørn Asheim (UiS). **Co-supervised by:** Magnus Klofsten (LiU).



Networks of Individuals in University-Industry relationships

- Motivation of academics to engage locally:
 - presence of **regional advantages** relevant for advancing their research agenda.
- Academic networks exhibit **heterogeneous characteristics**:
 - explain academics' causal and effectual tendencies in decision-making.
- International and local networks are inter-linked and complementary:
 - sources of new knowledge;
 - required relevance to embed newly acquired knowledge.





Rhoda Ahoba-Sam

LATEST PUBLICATIONS

Ahoba-Sam, R. (2019). *Why do academics engage locally? Insights from the University of Stavanger*. *Regional Studies, Regional Science*, 6:1, 250-264.

Ahoba-Sam, R. & Charles, D. (2019). Building of Academics' Networks—An analysis based on Causation and Effectuation theory. Review of Regional Research, 1–19.

RUNIN WP 04/2018 Rhoda Ahoba-Sam, Maria Salomaa & David Charles. On overcoming the barriers to regional engagement: Reflections from the University of Lincoln.



2.2.

The role of university-industry interaction for regional industrial development

- The establishment of a university can be used as policy instrument to **revitalize peripheral regions**:
 - example of Aalborg university and its region.
- Through human capital production universities can support **regional economic specialization**:
 - importance of degrees related to local industrial specialization.
- Firms refocus their hiring when collaborating with a university:
 - o to absorb university knowledge through graduates.



Gerwin Evers

LATEST PUBLICATIONS

Evers, G. (2019). *The impact of the establishment of a university in a peripheral region on the local labour market for graduates*. *Regional Studies, Regional Science*, 6:1, 319-330.

RUNIN WP 11/2018 Gerwin Evers. *The impact of the establishment of a university in a peripheral region on the local labour market for graduates*.

RUNIN WP 03/2018 David Fernández Guerrero & Gerwin Evers. *Co-creation of Localised Capabilities between Universities and Nascent Industries: The Case of Aalborg University and the North Denmark Region*.



2.3.

Doctoral education in the entrepreneurial university: enhanced employability?

Research highlights:

- Doctoral education is key in the university's regional impact
- Main challenge: PhDs' employability outside academia

The entrepreneurial university model is particularly relevant in this issue

Main recommendations:

- Entrepreneurial university management: 'brand' doctoral education
- Regional employers: communicate needs and work with university
- **PhDs**: interact with employers
- Other regional stakeholders: create meeting opportunities (e.g. job fairs)





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LATEST PUBLICATIONS

Cadorin E., Germain-Alamartine E., Bienkowska D., Klofsten M. (2019) *Universities and Science Parks: Engagements and Interactions in Developing and Attracting Talent*. In: Kliewe T., Kesting T., Plewa C., Baaken T. (eds) *Developing Engaged and Entrepreneurial Universities*. Springer, Singapore

Germain-Alamartine E. & Moghadam-Saman S. (2019) *Aligning doctoral education with local industrial employers' needs: a comparative case study*, *European Planning Studies*.

Etzkowitz, H., Germain-Alamartine, E., Keel, J., Kumar, C., Smith, K. N., Albats, E. (2019) *Entrepreneurial university dynamics: Structured ambivalence, relative deprivation and institution-formation in the Stanford innovation system*. *Technological Forecasting and Social Change*, 141, 159-171.



Intersectoral collaborations of doctoral researchers and generic skills acquisition: A critical realist inquiry







Saeed Moghadam-Saman

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LATEST PUBLICATIONS

Moghadam-Saman, S. (2019). *Collaboration of Doctoral Researchers with Industry: A Critical Realist Theorization*. *Industry and Higher Education*.

Germain-Alamartine E. & Moghadam-Saman S. (2019) *Aligning doctoral education with local industrial employers' needs: a comparative case study*, *European Planning Studies.*

RUNIN WP 05/2019 - Saeed Moghadam-Saman. *How do mechanisms' 'tendency' within critical realism influence our understanding of structure-agency relations?*



2.4.

Intersectoral collaborations of doctoral researchers and generic skills acquisition: A critical realist inquiry

- Intersectoral collaboration opportunities depend on academic disciplines:
 - hard-applied and soft-pure disciplines vs. hard-pure and softapplied disciplines
- Acquisition of **generic skills** :
 - more comprehensive when in parallel and in balance with the development of discipline-specific skills
- Design of programmes:
 - Collaborative doctoral programmes: in close collaboration with industrial partners
 - Generic skills courses: at the department or faculty level (rather than university level).





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LATEST PUBLICATIONS

Moghadam-Saman, S. (2019). *Collaboration of Doctoral Researchers with Industry: A Critical Realist Theorization*. *Industry and Higher Education*.

Germain-Alamartine E. & Moghadam-Saman S. (2019) *Aligning doctoral education with local industrial employers' needs: a comparative case study*, *European Planning Studies.*

RUNIN WP 05/2019 - Saeed Moghadam-Saman. *How do mechanisms' 'tendency' within critical realism influence our understanding of structure-agency relations?*



2.4.

3. WP # 4 – Policies and Interventions



ESR 5: Liliana Fonseca (UA)

"The Role of Universities in Regional Innovation Policies and Practices".

Supervised by: Carlos Rodrigues (UA). **Co-supervised by:** Joan Lluis Capelleras (UAB).



ESR 6: Lisa Nieth (Twente Regio)

"It takes both sides to build a bridge: Universities as institutional entrepreneurs in knowledge-based regional development".

Supervised by: Paul Benneworth (UT). **Co-supervised by:** David Charles (NU).



ESR 7: Maria Salomaa (UL)

"Entrepreneurial universities, rural regions: A comparative analysis on university engagement through Structural Funds programmes in the UK, Finland and Portugal".

Supervised by: David Charles (NU). **Co-supervised by:** Ana Daniel (UA).

Main findings and outputs on Policies and Interventions will be presented by this Work Package team in the next presentation.



4. WP # 5 – Places and Territories



ESR 8: Kwadwo Atta-Owusu (UiS)

"Universities' agency in territorial knowledge networks: understanding the determinants of knowledge exchange behaviours in external interactions".

Supervised by: Rune Dahl Fitjar (UiS). **Co-supervised by:** Paul Benneworth (UT).



ESR 9: David Fernández Guerrero (AAU)

"Exploring the drivers of industryuniversity collaboration in metropolitan and non-metropolitan regions. A comparative study".

Supervised by: Ina Drejer (AAU). **Co-supervised by:** Artus da Rosa Pires (UA).



ESR 10: Utku Ali Riza Alpaydin (UiS)

"University-Industry Collaborations: A Matter of Proximity Dimensions?".

Supervised by: Rune Dahl Fitjar (UiS). **Co-supervised by:** Christian Østergaard (AAU).





Universities' agency in territorial knowledge networks: understanding the determinants of knowledge exchange behaviours in external interactions

- Academics with extensive networks can help mitigate innovation deficiencies of peripheral regions by acting as **network connectors and channels** for external knowledge flow.
- Knowledge exchange interactions of academics are largely driven by **intrinsic motivation and sense of attachment to a region**.
- Perception of fairness in distribution of rewards by university authorities **does not exhibit any effect** on knowledge exchange interactions.
- University characteristics are much less important **than firm characteristics** in developing university-industry collaborations.
- Whereas regional universities' pursuit of scientific excellence have **adverse impact** on UICs at the local level, firms' cooperation with external partners have **opposite effect**.





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LATEST PUBLICATIONS

Atta-Owusu, K. (2019). *Oasis in the desert? Bridging academics' collaboration activities as a conduit for global knowledge flows to peripheral regions. Regional Studies, Regional Science*, 6:1, 265-280

RUNIN WP 05/2018 Utku Ali Rıza Alpaydın, Kwadwo Atta-Owusu, Saeed Moghadam-Saman. *The Role of Universities in Innovation and Regional Development: The Case of Rogaland Region*.



Exploring the drivers of industry-university collaboration in metropolitan and non-metropolitan regions. A comparative study







David Fernández Guerrero

LATEST PUBLICATIONS

RUNIN WP 03/2018 David Fernández Guerrero & Gerwin Evers. *Co-creation of Localised Capabilities between Universities and Nascent Industries: The Case of Aalborg University and the North Denmark Region*.



4.2.



Exploring the drivers of industry-university collaboration in metropolitan and non-metropolitan regions. A comparative study

MAIN RESULTS

- In some cases (firms hiring of graduates; or collaborating with technical research institutes), firms in peripheral regions are more likely to collaborate with universities than in other regions
- Local universities play a proactive role in starting relationships with SMEs from sectors traditionally not linked to university research. Pressures from customers and competitors incentivise these SMEs to develop university links into full-blown collaborative research.

RECOMMENDATIONS

- Financing third mission activities oriented to support regional businesses' innovative activities
- Financing graduate employee voucher schemes
- Incentivising collaboration between firms and technical research institutes; and tripartite collaborations also involving universities
- Incentivising interactions between firms and customers





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LATEST PUBLICATIONS

RUNIN WP 03/2018 David Fernández Guerrero & Gerwin Evers. *Co-creation of Localised Capabilities between Universities and Nascent Industries: The Case of Aalborg University and the North Denmark Region*.





University-Industry Collaborations: A Matter of Proximity Dimensions?

MAIN RESULTS

RECOMMENDATIONS

- UICs are not limited to patents, publications; other mechanisms are more prevalent.
- UICs happen mostly in the local sphere, but differences in terms of UIC types should be acknowledged.

 The effect/significance of dimensions varies and mostly depends on the type of UIC.

- Discern the richness and heterogeneity of UIC types and develop policies accordingly
- Nurture local relationships and equally take steps to ensure extra-regional knowledge flows.
- Invest in absorptive capacity of firms and allow flexibility for universities for research-oriented interactions.
- Foster sustainability of collaborations (repetitive collaborations) for commercial results such as patents and spin-offs.
- Increase the effectiveness of joint organizational structures (collaborative research centres, TTOs).



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LATEST PUBLICATIONS

Alpaydın, U. (2019). *Exploring the spatial reach of co-publication partnerships of multinational enterprises: to what extent does geographical proximity matter? Regional Studies, Regional Science*, 6:1, 281-298

RUNIN WP 05/2018 Utku Ali Rıza Alpaydın, Kwadwo Atta-Owusu, Saeed Moghadam-Saman. *The Role of Universities in Innovation and Regional Development: The Case of Rogaland Region*.





University-Industry Collaborations: A Matter of Proximity Dimensions?







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LATEST PUBLICATIONS

Alpaydın, U. (2019). *Exploring the spatial reach of co-publication partnerships of multinational enterprises: to what extent does geographical proximity matter? Regional Studies, Regional Science*, 6:1, 281-298

RUNIN WP 05/2018 Utku Ali Rıza Alpaydın, Kwadwo Atta-Owusu, Saeed Moghadam-Saman. *The Role of Universities in Innovation and Regional Development: The Case of Rogaland Region*.



5. WP # 6 – Practices and Governance



ESR 11: Sergio Manrique (UAB)

"Assessing the impact of universityfirm collaboration on firm performance and regional development".

Supervised by: Emili Grifell-Tatjé (UAB). **Co-supervised by:** Ragnar Tveteras (UiS).



ESR 12: Sofya Kopelyan (UT)

"New modes of university governance for dynamic regional stakeholder engagement".

Supervised by: Paul Benneworth (UT) and Harry de Boer (UT). **Co-supervised by:** Dzamila Bienkowska (LiU).



ESR 13: Ridvan Cinar (UA)

"Culture, the changing nature of innovation and institutional challenges to universities".

Supervised by: Artur da Rosa Pires (UA). **Co-supervised by:** Paul Benneworth (UT).



ESR 14: Huong Thu Nguyen (UAB)

"From triple to quadruple helix: the role of society / citizens / customers in innovation".

Supervised by: Pilar Marquès (UAB). **Co-supervised by:** Ina Drejer (AAU).





Assessing the impact of university-firm collaboration on firm performance and regional development

- Informal factors such as trust and personal networks play a key role in the development and consolidation of R&D partnerships.
- Firms benefit from University-Firm Collaboration in terms of R&D outputs (innovative products, patent production) as well as in *intrapreneurship*, *talent* acquisition and a *high level scientific environment*. Such partnerships also generate positive effects in universities and their regions.

Firms from the chemicals industry in Spain that collaborate with University.

- * Obtain higher revenues from innovative products.
- * Invest more in R&D capital and pay more to R&D staff.
- * Perform higher innovation-related profitability.
- This *profitability gap* can be decomposed in: <u>Technology gap</u> * <u>Technical efficiency gap</u> * <u>Size effect</u> * <u>Price recovery gap</u>
- Multidimensional Approach for Research Impact Assessment (*MARIA Model*): *Responsiveness*, *Accessibility*, *Reflexivity*, *Ecology* and *Adaptability*, as impactful attributes of research.





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LATEST PUBLICATIONS

RUNIN WP 02/2019 - Sergio Manrique. *Exploring the Impact of University-Firm Collaboration on Regional Development: The Spanish Case*.

Manrique, S., Wróblewska, M. & Good, B. (2019). *Rethinking Research Impact Assessment: A Multidimensional Approach*. *Fteval Journal for Research and Technology Policy Evaluation*, 48, 161-177.

RUNIN WP 12/2018 - Sergio Manrique. *Personal Networks and Trust in Public-Private R&D Partnerships: A Case Study from Spain*.



University governance in the times of 'mission stretch': Implications for the regional mission

MAIN RESULTS

5.2.

- Recognition of four distinct identity types amongst regionally engaged academics.
- Demonstration of how strategic,scholastic, and stakeholder narratives can frame the regional mission as institutionally unimportant.
- Identification of reasons why certain innovative organisational structures have not added substantial value to the implementation of the regional mission.

RECOMMENDATIONS

- Optimise the mix of identity profiles in universities' academic teams to make the pursuit of strategic regional mission objectives more actionable.
- Reconsider and reformulate strategic narratives that drive regional engagement at the operational level.

Makeprovisionforexpandingtheactorhoodofexistinginstitutionalentrepreneurswhoareabletoalignpartners around regional projects.





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LATEST PUBLICATIONS

RUNIN WP 10/2018 Sofya Kopelyan. Academic 'boundary work' in a regionally engaged university

RUNIN WP 02/2018 Sofya Kopelyan & Lisa Nieth. Regional Mission Impossible? The Twente Region and the University of Twente



5.3.

Culture, the changing nature of innovation and institutional challenges to universities

- Innovation landscape in Europe has changed significantly over the last four decades and these changes are marked by 3 critical junctures; 1983-1986 (economic, social and political integration of Europe), 1995-2000 (transition from industrial to information society) and 2008-2012 (the shift towards a place based approach).
- Culture itself as a concept is evolving to a degree where the distinction between cultural/art producer and cultural/art consumer has become less and less clear. This has important implications for universities and creates challenges such as new geographies of innovation, new societal partners for innovation.
- Universities are not exempt from the dominant technoeconomic understanding of the innovation and thus they have difficulty in mobilizing their resources systematically to support social innovation.
- Natural resource-based innovations through valorization of waste and sidestream is a legitimate and well-supported university activity that has become sedimented but there seems to be both external and internal factors that threaten the sedimented structure.





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LATEST PUBLICATIONS

RUNIN WP 01/2017 Liliana Fonseca & Ridvan Cinar. *Engaged and Innovative Universities in Less-Developed Regions The Case of the University of Aveiro*

Cinar, Ridvan (2019). Delving into social entrepreneurship in universities: is it legitimate yet? Regional Studies, Regional Science, 6:1, 217-232.



From triple to quadruple helix: the role of society / citizens / customers in innovation



Elaborated based on Carayannis and Campbell (2009)

A balancing act between:

- Regional mission vs Internationalisation
- Triple Helix actors vs Societal users (Power relationships)
- Expectation vs Real performance
- Network vs Individual (organisation) benefits



5.4.

RUNIN Main Findings By Eloïse Germain-Alamartine & Sergio Manrique





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LATEST PUBLICATIONS

Nguyen, Huong, & Marques, Pilar (2018). *Implementing the quadruple helix of innovation: Exploring challenges*. ISPIM Innovation Symposium; Manchester : 1-12. Manchester: The International Society for Professional Innovation Management

RUNIN WP 02/2017 Sergio Manrique & Huong Nguyen. *Balancing Regional Engagement and Internationalisation The Case of Autonomous University of Barcelona*

6. Final Insights

Work Package # 3 People and Networks

- Better understanding of how
 university-industry networks function
 at the individual level, in the light of
 regional development:
 - Types of networks, motivations, mechanisms.
- Focus on doctoral education to increase university-industry interactions and support regional development, through the enhancement of graduates' employability.

Work Package # 5 Places and Territories

- Better understanding of **knowledge networks and exchange** in universityindustry collaboration at **peripheral regions**.
- Revealing differences of nonmetropolitan regions for universityindustry collaboration and industrial development.
- Conceptual and empirical advancements in the proximity dimensions of university-industry collaboration.

Work Package # 6 Practices and Governance

- Understanding the **innovationrelated financial impact** of collaboration with university.
- Better understanding of academics
 engagement and regional mission in
 the context of universities'
 governance.
- The role of **evolving culture** in the **understanding of innovation** and its effect on universities.
- Conceptual and empirical advancements in the role of civil society in innovation systems.





The Role of **Universities** in **Innovation** and Regional Development

Tusen Takk!

For more info: runinproject.eu